

Comparative Study between Simple Percutaneous Transluminal Angioplasty and Drug-Coated Balloons in Chronic Total Occlusion of The Femoro-popliteal Artery

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ABSTRACT

Background: Atherosclerotic peripheral artery disease (PAD) affects a growing number of patients in aging populations. Peripheral artery disease (PAD) accounts for a large proportion of cardiovascular disease (CVD) prevalence in most world regions.

Objective: This study aims to evaluate and compare the patency, clinical outcome, and limb salvage rates between the simple percutaneous Transluminal angioplasty and the drug-coated balloons in chronic total occlusion of the femoropopliteal arterial segment on the short-term course.

Patients and Method: A prospective randomized controlled comparative study was conducted in the vascular surgery department; Aswan University Hospital during the period from March 2018 to March 2020. In total, 30 patients with a well-established diagnosis of superficial femoral artery (SFA) and/or proximal popliteal lesions with symptoms of PAD.

Results: The primary patency at 12-month following treatment with DCB was significantly better 100. %, 80.0%, 60.0% at 1, 6, 12 months compared with POBA 86.7 %, 66.7%, 46.7% at 1, 6, 12 months. The rate of clinically-driven TLR was the same in both groups (26.6%). The use of DCB was safe and did not increase the major adverse clinical events (death, myocardial infarction, and minor or major amputation) when compared with those seen with the use of the uncoated balloons).

Conclusion: Use of DCBs is associated with improved vessel patency when compared to POBA in patients with FPD. There was no difference in clinically driven TLR between DCB and POBA in our study.

Keywords: DCB, Patency, Limb Salvage, TLR, POBA

INTRODUCTION

Endovascular treatment of symptomatic atherosclerotic peripheral arterial disease (PAD) has gained wide-scale acceptance and is now recommended as a primary revascularization strategy in many clinical and anatomical scenarios ⁽¹⁾.

In the majority of patients with peripheral arterial disease, the femoropopliteal segment is involved. Guideline recommendations mainly encourage the endovascular as first-line approach ⁽¹⁾.

The optimal treatment for superficial femoral (SFA) and popliteal arteries remains controversial. Some practical guidelines advise against primary stenting in patients with intermittent claudication, while others recommend primary stenting in short or intermediate course lesions ⁽²⁾.

However, observational studies raised concerns regarding the risk of stent fracture and significant restenosis due to the unique mechanical forces in the superficial femoral (SFA) and popliteal arteries ⁽³⁾.

Although treatment with Percutaneous Transluminal Angioplasty (PTA) is effective in initially restoring blood flow, restenosis from vessel recoil and neointimal hyperplasia may occur ⁽⁴⁾.

One approach to this challenge has been the development of drug-coated balloons (DCB) which combines balloon dilatation with local delivery of an

anti-proliferative drug to reduce both restenosis and the need for reintervention compared with PTA ⁽⁵⁾.

DCBs are covered with an anti-proliferative agent, paclitaxel, using urea as an excipient to facilitate the transfer of paclitaxel to the inner vessel surface on balloon inflation. After angioplasty paclitaxel can persist in the vessel wall for up to 180 days in experimental models ⁽⁶⁾. The IN.PACT SFA study evaluated the IN.PACT Admiral DCB with the urea as the excipient of paclitaxel with primary patency of 86.6% at 12 months ⁽⁷⁾.

The ILLUMINATE Pivotal Study assessed the Stellarex DCB with paclitaxel and a novel excipient (polyethylene glycol) and showed a patency rate of 89.5% at 12 months ⁽⁸⁾.

This study aims to evaluate and compare the patency, clinical outcome, and limb salvage rates between the simple percutaneous Transluminal angioplasty and the drug-coated balloons in chronic total occlusion of the femoropopliteal arterial segment on the short-term course.

PATIENTS AND METHODS

A prospective randomized controlled comparative study was conducted in the Vascular Surgery Department, Aswan University Hospital during the period from March 2018 to March 2020. In



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total, 30 patients with a well-established diagnosis of superficial femoral artery (SFA) and/or proximal popliteal lesions with symptoms of PAD were included in the study respecting the inclusion and exclusion criteria.

Patients were divided randomly into two groups:

- **Group A:** Includes 15 patients who underwent simple percutaneous transluminal angioplasty.
- **Group B:** Includes 15 patients who underwent Drug coated balloons angioplasty.

Sample size: Thirty patients with chronic total occlusion of the femoropopliteal arterial segment (P1) admitted to the Vascular Surgery Department; Aswan University Hospital.

Inclusion criteria:

1. Patients with chronic total occlusion of the SFA or Fem-Pop segment with or without below the knee lesions (BTK) lesions.
2. Patients aged 40-75 years
3. Symptomatic patient staged IIB, III, and IV Fontaine.
4. Diabetic ischemic patient.
5. Successful wire crossing of the lesion.

Exclusion criteria:

1. Concomitant proximal lesion.
2. Hepatic or renal patient.
3. Patients with heart failure.
4. Patients with poor life expectancy due to malignancy or terminal illness.
5. Patients with severe sepsis due to diabetic foot ulcers or wet gangrene.
6. Acute or sub-acute thrombus in the target vessel.

All patients were submitted to the following:

1-History: Personal data. Risk factors: smoking, DM, hypertension, hypercholesterolemia. Co-morbidity: heart failure, previous stroke, angina, MI. Prior procedures using femoral access and complications.

2-Physical examination, including Recording of peripheral pulses. Recording of signals using Doppler. Signs of chronic ischemia of the lower limb. Groin examination for signs of infection. Presence of Diabetic foot ulcer. Presence of dry or wet gangrene. Pressure measurements in the popliteal and ankle (ABI) are taken.

3-Investigations:

- a) **Laboratory:** Routine biochemistry (Creatinine, estimated GFR, ESR, CRP, RBS, cholesterol,

HbA1c). Routine hematology (hemoglobin, white cell count, platelet count, HbA1c). PT, PTT, INR and lipid profile.

b) Noninvasive Imaging: Duplex ultrasound of bilateral lower limb arterial tree. X-ray for Diabetic foot ulcer.

c) Additional imaging modalities for all patients before intervention: CT angiography of infrarenal abdominal aorta and bilateral lower limb arterial tree.

Follow up: Clinical follow-up consisted of pulse examination and evaluation of the ulcer or amputation site healing or resolution of infection.

Clinical outcomes, primary patency, secondary patency, and complications following the procedure were reported. All patients were re-examined after one week to check for access site complications and to confirm patency.

All patients were followed for 12 months with regular visits at 1,6 and 12 months or when new complaints arise. Follow-up consisted of clinical examination ± imaging study (duplex US every 3 months and CT angiography at first 6 months and by the end of the first year of the follow up) or if needed in cases of absent or diminished pulse or recurrence of symptoms.

Ethical approval and written informed consent:

An approval of the study was obtained from Aswan University academic and ethical committee. Every patient signed an informed written consent for acceptance of the operation.

Statistical analysis:

Analysis was performed using the SPSS version15 (Chicago, IL, USA). Results were presented as mean ± SD, range, numbers, and percentages. Continuous variables were compared with the unpaired t-test. Categorical variables were compared with Fisher's exact test or χ^2 test as appropriate. $P < 0.05$ was considered statistically significant.

RESULTS

In this study, 30 patients with chronic total occlusion of the Femoropopliteal artery, who underwent either Simple Percutaneous Transluminal Angioplasty (PTA) (15 patients, group 1) or Drug-coated balloons (15 patients, group 2) collected from the Vascular Surgery Departments, Aswan University Hospitals.

Table 1: Demographic characteristics of the studied population.

	Group (1) Simple PTA (n = 15)	Group (2) Drug-coated balloons (n = 15)	P-value
Age (years), Mean ± SD (range)	53 ± 10.2 (40 – 72)	56 ± 11.0 (40 – 73)	0.444
Patient's Sex			
Male	10 (66.7%)	11 (73.3%)	0.715
Female	5 (33.3%)	4 (26.7%)	
Residence			
Aswan	10 (66.7%)	9 (60.0%)	0.705
Others:	5 (33.3%)	6 (40.0%)	
Abo-Sembl	1 (6.7%)	0	
Dakka	0	1 (6.7%)	
Draw	1 (6.7%)	2 (13.3%)	
Edfu	1 (6.7%)	1 (6.7%)	
Esna	0	1 (6.7%)	
Garb Soheel	1 (6.7%)	0	
Komombo	1 (6.7%)	1 (6.7%)	

In **Table 1**, there were no statistically significant differences in demographic characteristics between patients who underwent simple PTA and Drug-coated balloon. As regards the risk factors and co-morbidities; All Patients were diabetics, 5 (33.3%) patients were hypertensive in group (1), while 6(40.0%) patients in group (2) , 14(93.3%) were smokers in group (1) while 12 (80.0 %) patients in group (2) , 2(13.3%) patients had a history of previous ischemic heart disease in group (1) while in group (2) the patients were non-cardiac, 1 (6.7%) patient had a history of previous stroke in both groups.

Table 2: Preoperative clinical characteristics and risk factors of the studied population.

	Group (1) Simple PTA (n = 15)	Group (2) Drug-coated balloons (n = 15)	P-value
Presentation			
(Rutherford category 3) (fontaine IIb)	3 (20.0%)	3 (20.0%)	1.00
(Rutherford category 4) (fontaine III)	4 (26.7%)	5 (33.3%)	
(Rutherford category 5 and 6) (fontaine IV)	8 (53.3%)	7 (46.7%)	
Smoking			
Smokers	14 (93.3%)	12 (80.0%)	0.598
Non-smokers	1 (6.7%)	3 (20.0%)	
Chronic Medical Conditions			
Diabetes	15 (100%)	15 (100%)	1.00
Hypertension	5 (33.3%)	6 (40.0%)	0.705
Ischemic Heart Diseases	2 (13.3%)	0	0.483
Previous Stroke	1 (6.7%)	1 (6.7%)	1.00

In **Table 2**, there were no statistically significant differences in clinical characteristics and risk factors between patients who underwent simple PTA and Drug-coated balloon.

There was a history of previous interventions; About 2 (13.3%) patients had previous contralateral above-knee amputation (AKA) in each group. 2 (13.3%) had a history of coronary artery stenting then CABG in group 1 more than 6 months ago

Table 3: Previous interventions among the studied population.

	Group (1) Simple PTA (n = 15)	Group (2) Drug-coated balloons (n = 15)	P-value
Previous interventions			
CABG	2 (13.3%)	0	0.483
Coronary artery stenting	2 (13.3%)	0	0.483
Contralateral AKA	2 (13.3%)	2 (13.3%)	1.00

In **Table 3**, there were no statistically significant differences in the history of previous interventions between patients who underwent simple PTA and Drug-coated balloon.

The lesion characteristics; 9 (60.0%) patients were suffering from short lesions (<10 cm) in group 1 and 10 (66.7%) patients in group 2. 6 (40.0%) patients were suffering from long lesions (>10 cm) in group 1 and 5 (33.3%) patients in group 2.

All these lesions were chronic total occlusion (CTO) of the superficial femoral artery. Out of these patients; 8 (53.3%) patients had multiple lesions in group 1 while 5 (33.3%) patients in group (2).

Crossing lesion was subintimal in about 6 (40.0%) cases in group 1, while in 8 (53.3%) cases in group 2. lesion were crossed intraluminally in 9 (60.0%) cases in group 1 while 7 (46.7%) cases in group 2.

About 9 (63.3%) patients had multiple run off in group 1 while 8 (53.3%) patients in group 2.

About 6(40.0 %) cases had single runoff in group 1 while 7 (46.7%) cases in group 2.

The access type used was either contralateral (retrograde) femoral access in 1 (6.7%) patient and 2(13.3%) patients in group 1 and 2 respectively or ipsilateral (Antegrade) femoral access used in 14 (93.3%) patients while 13 (86.7%) patients in group 1 and group 2 respectively.

Table 4: Lesion characteristics and Intraoperative data of the studied population.

	Group (1) Simple PTA (n = 15)	Group (2) Drug-coated balloons (n = 15)	P-value
Access Type			
Ipsilateral	14 (93.3%)	13 (86.7%)	1.00
Contralateral	1 (6.7%)	2 (13.3%)	
Procedure-related complications			
None	14 (93.3%)	13 (86.7%)	1.00
Non-flow limiting dissection	1 (6.7%)	2 (13.3%)	
Lesion length			
Short (<10c.m)	9 (60.0%)	10 (66.7%)	0.705
Long (>10c.m)	6 (40.0%)	5 (33.3%)	
Number of lesions			
Single	7 (46.7%)	10 (66.7%)	0.269
Multiple	8 (53.3%)	5 (33.3%)	
Crossing method			
Sub-intimal	6 (40.0%)	8 (53.3%)	0.464
Intraluminal	9 (60.0%)	7 (46.7%)	
Run-Off Vessel			
Single	6 (40.0%)	7 (46.7%)	0.713
Multiple	9 (60.0%)	8 (53.3%)	

In **Table 4**, there were no statistically significant differences in the intraoperative data between patients who underwent simple PTA and Drug-coated balloon.

The 30 patients were followed for 12 months for primary, secondary patency rates, and limb salvage rates were defined at 1, 6, 12 months.

The primary patency rates were 86.7 %, 66.7%, 46.7% at 1, 6, 12 months respectively in group (1) Vs 100.%, 80.0%,60.0% at 1, 6, 12 months respectively in group (2), the patients didn't need any secondary procedures in the 1st month but secondary patency rates were 80.0%, 60.0% at 6, 12 months respectively in group (1) Vs 100%, 73.3% at 6, 12 months respectively in group (2); Limb salvage was the same in both groups at 1st month follow up; secondary procedures improved limb salvage rates at 6th month more in group (2); 93.3% than in group (1); 73.3%; but at 12th month the limb salvage rates were 66.7% in group (1) & 86.7% in group (2).

Table 5: Postoperative patency rates among the studied population.

Parameter		Group (1) Simple PTA (n = 15)	Group (2) Drug-coated balloons (n = 15)	P-value
		No. (%)	No. (%)	
1-month follow-up	Primary Patency	13 (86.7%)	15 (100.0%)	0.483
	Limb Salvage	15 (100.0%)	15 (100.0%)	NA
6-month follow-up	Primary Patency	10 (66.7%)	12 (80.0%)	0.682
	Secondary Patency	12 (80.0%)	15 (100.0%)	0.224
	Limb Salvage	11 (73.3%)	14 (93.3%)	0.330
12-month follow-up	Primary Patency	7 (46.7%)	9 (60.0%)	0.464
	Secondary Patency	9 (60.0%)	11 (73.3%)	0.439
	Limb Salvage	10 (66.7%)	13 (86.7%)	0.390

In **Table 5**, there were no statistically significant differences in the postoperative patency between patients who underwent simple PTA and Drug-coated balloon.

Between 1-6 months; 4 patients presented with a recurrent lesion, recurrent rest pain in 2 cases, and recurrent tissue loss (new tissue loss or failure of healing) in 2 cases. These 8 patients were distributed 5 cases in group (1) & 3 cases in group (2); successful re-intervention by angioplasty was done in 2 patients of group (1) and by DCD in 3 patients of group (2).

In group (1) 3 patients had above knee amputation due to unsalvageable limb and 1 patient had below knee amputation due to spreading of infection despite successful re-intervention and presence of a popliteal pulse. In group (2) 1 patient had below knee amputation due to spreading of infection despite successful re-intervention and presence of a popliteal pulse.

At 12th month follow up; another 4 patients presented with recurrent symptoms and equally distributed in both groups; successful re-intervention by angioplasty and stenting was done in 1 patient and another one was corrected by bypass surgery while 1 patient died from myocardial infarction in group (1) but successful angioplasty by DCB was done in 1 case and one patient did not come for follow up in group (2).

Table 6: Rate of clinically driven TLR in both groups.

	Group (A); POBA	Group (B); DCB	P-value
Clinically-driven TLR	4(26.6%)	4(26.6%)	p=1.0

Safety and efficacy outcomes

There was no procedure or death in either study group. The 12-month adverse effects, in term of all causes of device-related death (N.1= 6.6% UCB vs. N.0= DCB), minor amputation and debridement (N.3=20% UCB vs. N.3=20% DCB), and myocardial infarction (0% UCB vs. N.1= 6.6% DCB).

DISCUSSION

Until our submission, there are limited articles about CTO and long lesions with DCBs. Only the IN. PACT CTO study published in 2019 is focused on long and occlusive lesions and the DCBs⁽⁹⁾. But this is the first study including long and CTO femoropopliteal lesions based on the Asian population.

The IN.PACT CTO study with also 100% CTO lesions and 22.83cm lesion length showed the primary patency is 88.7% ($n=126$)⁽⁹⁾.

Lai et al.⁽¹⁰⁾ reported primary patency to be 93.2%±3.8% at 3 months, 88.3%±4.9% at 6 months, 78.8±6.8% at 1year in a single-arm trial including 44 femoropopliteal lesions (chronic total occlusion (CTO) plus >10cm) treated with DCBs. The rate of freedom from TLR was 91.4±4.9% after 1 year.

Bosiers et al.⁽¹¹⁾ reported primary patency of 84.6% at 6 months and 71.1% at 1 year. Freedom from TLR was 79.9% at 1 year. This study was a prospective, multi-national, non-randomized, single-arm study evaluating the safety and efficacy of the Legflow paclitaxel-eluting balloon dilatation catheter in the treatment of stenotic or occlusive lesions >150 mm long in the femoropopliteal arteries of symptomatic patients (Rutherford 2-5). A total of 120 study subjects were enrolled.

Micari et al.⁽¹²⁾ reported 1-year primary patency in femoropopliteal lesions over 150mm ($n=101$) to be 83.2% while 1-year primary patency in complex femoropopliteal lesions (lesions over 10cm or restenosis) was reported by **Schmidt et al.**⁽¹³⁾ as 79.2%.

In comparison, 1-year patency rates of shorter lesions with DCBs range mainly from 85 to 90% (although some results are within 75–85%) which is higher than that of long-lesion studies to some extent⁽¹⁴⁾. This is probably because long lesions tend to cause a higher possibility of subintimal passage and losing more dose of paclitaxel in the passage. But since the difference is only 5–10%, the availability of paclitaxel in longer lesions is also satisfactory⁽¹⁵⁾.

In our study evaluation was tried to assess the efficacy and safety of paclitaxel-coated balloon angioplasty versus POBA of symptomatic peripheral

arterial disease of the femoropopliteal artery with CTO.

The salient findings were:

- The primary patency at 12-month following treatment with DCB was significantly better 100.%, 80.0%, 60.0% at 1, 6, 12 months compared with POBA 86.7 %, 66.7%, 46.7% at 1, 6, 12 months.
- The rate of clinically-driven TLR was the same in both groups (26.6%)
- The use of DCB was safe and did not increase the major adverse clinical events (death, myocardial infarction, and minor or major amputation) when compared with those seen with the use of the uncoated balloons.)

The present study may be considered important as in our study we did not find a significant difference between both study groups in the clinically-driven target lesion revascularization at 12 months follow-up. This is in contrast to the results of other DCB trials in which target lesion revascularization rates were significantly lower in the paclitaxel balloon group^(16,17).

The findings of better primary patency but no difference in cdTLR can be explained by several contributing factors. First, the sample size was small. Second, the healing response after arterial injury begins immediately after the angioplasty and may last for weeks or months, after that the cytotoxic drug paclitaxel has accomplished its action in the wall of the targeted artery.

Finally, the outflow of BTK-arteries (occlusive disease in the pedal arteries) was not assessed in our study.

Our results are also consistent with the LEVANT II trial — a single-blind, randomized trial of 476 patients compared Lutonix DCB- (Bard PV, Tempe, AZ, USA) with POBA-angioplasty for PAD — also failed to demonstrate a significant difference in the clinical improvement endpoints of target lesion revascularization at 12 months⁽¹⁶⁾.

The results of our study do not provide definitive guidelines in managing PAD. We do agree that the studied patients' group was relatively small. Several trials have shown that after balloon angioplasty restenosis occurs in approximately 50% of cases within the first 6 months. The justification for this is that during this period the paclitaxel has to do its job (to inhibit the endothelial cell cycle in the M phase of the mitotic cycle). The IN. PACT SFA⁽¹⁶⁾, the LEVANT **Rosenfield et al.**⁽⁴⁾, and the debate-ISR **Liistro et al.**⁽¹⁸⁾ trials have shown that the benefit of paclitaxel occurs during the first months after angioplasty. The Kaplan-Meier curves showed significantly better results at a 6-month follow-up, after this period the curves of DCB and UCB are approximately parallel. Furthermore, we studied a very sick patient population.

A longer and repeatedly angiographic follow-up would be hazardous. DCB randomized trials of patients with inclusion of the below the knee arteries with a larger sample size & with a longer follow-up period are necessary to (dis)prove our results.

CONCLUSION

This study focused on totally occlusive femoropopliteal arterial atherosclerotic treatment with POBA & DCBs.

The determination of the best method of revascularization for the treatment of symptomatic peripheral arterial disease (PAD) is based upon the balance between the risk of a specific intervention and the degree and durability of the improvement that can be expected from this intervention.

The main reason for advocating endovascular treatment of the femoropopliteal segment (even if less durable compared to open surgery) is the low complication rate.

Use of DCBs is associated with improved vessel patency when compared to POBA in patients with FPD. There was no difference in clinically driven TLR between DCB and POBA in our study. Extended follow-up of the available RCT data will be essential to analyze long-term device-related mortality peripheral arterial occlusive disease.

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